## OF THE STATE OF IOWA

IN THE MATTER OF:	)	CASE NO. 14-0081, 15-0048
STEFFANY L. MOHAN, D.D.S.	)	SETTLEMENT AGREEMENT AND FINAL ORDER
Respondent	)	

On this 14th day of March, 2017, the Iowa Dental Board and Steffany L. Mohan, D.D.S, each hereby agree as follows:

The licensee disciplinary hearing originally scheduled to commence before the lowa Dental Board on July 21-22, 2016, and continued until February 22-24, 2017, and continued until further Order of the Board, shall be resolved without proceeding to hearing, as the parties have agreed to the following Settlement Agreement and Final Order ("Order"):

- Respondent was issued an Iowa license to practice dentistry on the 1st day of July,
   1996, as evidenced by dental license number 07835 which is recorded in the permanent records in the office of the Iowa Dental Board.
- 2. Respondent's Iowa dental license is current and will expire August 31, 2018.
- 3. The Iowa Dental Board has jurisdiction over the parties and subject matter herein.
- A Notice of Hearing and Statement of Charges was filed against Respondent on May 12, 2016. Respondent filed an Answer denying the charges on May 18, 2016.

## SETTLEMENT AGREEMENT

THEREFORE, IT IS HEREBY ORDERED that Respondent's license to practice dentistry in the state of lowa shall immediately be placed on indefinite probation and subject to the following terms:

- 1. Respondent shall immediately cease from providing any dental implant care procedures, including but not limited to consultations, treatment planning, placement, postoperative care, restoration, or any other procedure directly related to perioperative or restorative care. Respondent shall be restricted from providing such services until further order of the Board. Nothing herein prohibits Respondent from providing other dental care services to patients who currently have dental implants.
- 2. Respondent shall, in writing, inform all current patients receiving care for dental implant procedures whose treatment plan, including the final prosthetic restoration, has not been completed, that their care must be transferred to a practitioner of the patient's choice, who has special skills, knowledge and expertise in the area of dental implants. Such notification shall occur within fourteen (14) days of this Order. All patient records shall be transferred at no cost to the patient or new treating dentist. Respondent shall reimburse all current dental implant patients for the portions of their treatment that have been pre-paid but not provided.
- Respondent shall immediately provide a list to the Board detailing the names of all
  patients under her care who are receiving dental implant procedures whose treatment
  plan, including the final prosthetic restoration, has not been completed.
- 4. Within forty-five (45) days of the date of this Order, Respondent shall provide a written report to the Board regarding her former dental implant patients referenced in paragraph 3, including each patient's name and date of notification referenced in paragraph 2, as well as a copy of the notification letter which was sent to the patient.
- 5. Respondent must request and receive written approval from the Board to remove the restriction on her practice of implant dentistry. Prior to requesting removal of this restriction, Respondent shall successfully complete all modules of the American Academy of Implant Dentistry Maxi Course or another board approved course in the

- area of implant dentistry. Respondent must also pass the Associate Fellow Part 1 Exam, or another comparable board-approved examination. The course sponsor shall report the results of the exam directly to the Board. The course and exam shall be taken at Respondent's expense.
- 6. The Board shall review the results of the course and exam to ensure Respondent has passed the course and exam and is competent to practice in the area of implant dentistry. Respondent shall sign any necessary releases in order for the Board to communicate directly with the course instructor(s) and evaluator(s).
- 7. Upon evidence of successful completion of the course and course exam and subject to the provisions of paragraph 9 below, the Board shall issue an order removing the restriction on Respondent's practice of implant dentistry.
- 8. Respondent shall cease teaching dental implant courses until she has requested and received written approval from the Board. The Board will not consider any request to lift this restriction until the Board has issued an order removing the restriction on Respondent's practice of implant dentistry pursuant to paragraph 7 and Respondent has subsequently practiced implant dentistry for a minimum of twelve (12) months under the terms of this Order.
- 9. Upon successful completion of the course and course exam, Respondent shall submit the name and curriculum vitae of a proposed practice monitor and written practice monitoring plan for Board approval. The practice monitor must be an Iowa licensed dentist who has special skills, knowledge and expertise in the area of dental implants. The practice monitoring plan must include the following:
  - a. Upon Respondent's return to implant dentistry, the practice monitor shall review Respondent's first five implant cases in the following manner:

- an initial review of the preoperative/diagnostic work-up of the proposed treatment;
- 2. a second review after the implant is placed; and
- 3. a final review after the prosthetic/restoration is placed.

The practice monitor shall ensure that, at each stage, Respondent's practice conforms to the standard of care.

- b. Thereafter, on a monthly basis, the practice monitor shall randomly select a designated number of Respondent's dental implant patient records and shall conduct a review of those records to ensure that they conform to the appropriate standard of care. After twelve (12) months, the Board may, at its discretion, order that these reviews be conducted on a quarterly basis or semi-annual basis.
- c. Respondent shall ensure that the practice monitor submits a monthly written report to the Board following each records review for the first twelve (12) months. Thereafter, the practice monitor's written reports may be submitted quarterly. The practice monitor shall immediately report to the Board any concerns about Respondent's practice. The practice monitor shall make recommendations for changes in Respondent's clinical practice, as appropriate, related to dental implant procedures.
- d. Respondent shall fully comply with all recommendations made by the practice monitor.
- e. Respondent shall be solely responsible for the costs associated with practice monitoring. Respondent shall promptly reimburse the practice monitor the usual and customary fee for the services.

- 10. Respondent agrees to submit a civil penalty in the amount of ten thousand dollars (\$10,000.00) to the Iowa Dental Board within sixty (60) days of the date of this Order made payable to Treasurer, State of Iowa.
- 11. Respondent shall submit quarterly reports to the Board detailing her compliance with the terms and conditions of this Order. Respondent shall ensure that the reports are submitted prior to the first day of January, April, July, and October, of each year.
- 12. Respondent shall be responsible for all costs associated with compliance of this Order, and shall also be responsible for all costs incurred by the Board in the monitoring of this Order to determine compliance. Respondent shall promptly remit three hundred (\$300.00) dollars on or before the first day of January, April, July, and October, of each year for such costs while on probation.
- 13. Respondent shall fully disclose this Order to all current and future licensees, employees and/or employers at Respondent's place of employment. Respondent shall report back to the Board with signed statements from all such licensees, employees and employers within fourteen (14) days of the date of this Order, and thereafter within fourteen (14) days of any new employment relationship, indicating that they have read the Notice of Hearing and Statement of Charges and this Settlement Agreement and Final Order and understand the current terms and conditions placed on Respondent's dental license. All employees shall report any concerns directly to the Board without adverse employment consequences.
- 14. Respondent shall fully cooperate with random unannounced visits by agents of the Board and shall fully cooperate with random unannounced reviews, inspections, and audits.

- 15. Respondent shall, upon reasonable notice and subject to the provisions of 650 lowa Administrative Code 31.6, appear before the Board at the time and place designated by the Board.
- 16. This Order resolves the pending Notice of Hearing and Statement of Charges as well as any open complaints before the Board regarding Respondent's practice of implant dentistry. Respondent does not admit fault or culpability in connection with the abovereferenced factual recitations contained herein.

## FINAL ORDER

- Respondent acknowledges that she has read in its entirety the foregoing Settlement
   Agreement and Final Order and that she understands its content and that she executed
   the Order freely, voluntarily, and with no mental reservation whatsoever.
- Respondent acknowledges she has a right to a hearing in this matter, and she hereby waives that right.
- Respondent acknowledges that she has the right to be represented by counsel in this matter.
- 4. Respondent agrees that the State's counsel may present this Order to the Board and may have *ex-parte* communications with the Board while presenting it.
- Respondent understands that this Order is a public record and is therefore subject to inspection and copying by members of the public.
- 6. Respondent understands that the Board will report this Order to the National Practitioner

  Data Bank.
- Respondent acknowledges that no member of the Board, nor any employee, nor attorney for the Board, has coerced, intimidated, or pressured her, in any way whatsoever, to execute this Order.

- 8. Respondent acknowledges that this proposed settlement is subject to approval of a majority of the full Board. If the Board fails to approve this proposed settlement, it shall be of no force or effect to either party.
- 9. Respondent shall fully and promptly comply with all Orders of the Board and the statutes and rules regulating the practice of dentistry in Iowa. Any violation of the terms of this Order is grounds for further disciplinary action, upon notice and opportunity for hearing, for failure to comply with an Order of the Board, in accordance with Iowa Code section 272C.3(2)(a)(2017).
- 10. The Board's approval of this Settlement Agreement and Final Order shall constitute a FINAL ORDER of the Board.

FINAL ORDER of the Board.	Mr. Th
This Settlement Agreement and Final	Order is voluntarily submitted on this //
day of Marsh, 2017.	Steffany L. Mohan, D.D.S. Respondent

This Settlement Agreement and Final Order is accepted by the Iowa Dental Board on this 4th day of March , 2017.

STEVEN P. BRADLEY, D.D.S.

Chairperson Iowa Dental Board 400 S.W. 8<sup>th</sup>, Suite D

Des Moines, IA 50309

cc: Sara Scott
Assistant Attorney General
Office of the Attorney General
Hoover State Office Building
Des Moines, IA 50319

Kevin Driscoll Finley Law Firm, P.C. 699 Walnut Street, Suite 1700 Des Moines, IA 50309

Frank Recker, DDS Frank R. Recker & Associates Co., LPA 4<sup>th</sup> and Vine Tower, Suite 2606 Cincinnati, OH 45202